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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,612	03/01/2002	Raymond A. Hui	9793/112 (RDID 01072)	7956
23690	7590	09/21/2004	EXAMINER	
Roche Diagnostics Corporation 9115 Hague Road PO Box 50457 Indianapolis, IN 46250-0457			CEPERLEY, MARY	
			ART UNIT	PAPER NUMBER
			1641	

DATE MAILED: 09/21/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	10/087,612	HUI ET AL.	
	Examiner	Art Unit	
	Mary (Molly) E. Ceperley	1641	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-45 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-45 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____. |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>5/30/02; 4/8/04</u> . | 6) <input type="checkbox"/> Other: ____. |

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- 1) It is required that the title of this application be changed to adequately reflect that the invention relates to the analysis of ecstasy-type drugs.
- 2) Reference A11 of form PTO-1449 filed May 30, 2002 is not present in this application file and has not been considered.
- 3) Reference A12 of form PTO-1449 filed May 30, 2002 has been considered but will not be published on the front of any patent issuing from this application for the reason that the citation does not contain a publication date as required by 37 CFR 1.98(b)(5).
- 4) Citations A15 and A16 of form PTO-1449 filed May 30, 2002, each of which contains citations of multiple publications, have not been considered since they fail to provide the information required by 37 CFR 1.98(b)(5) for each publication cited; applicants have further failed to provide a copy of each publication cited as required by 37 CFR 1.98(a)(2)(a). Applicants are reminded of their duty to disclose information material to patentability in accordance with 37 CFR 1.56, particularly subparagraph (a)(2), namely:

"The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office."

Applicants are advised that the citation of a large number of documents, without a discussion of the relevance of each document to the claimed invention, increases the risk that documents of particular relevance will not be adequately considered by the examiner during prosecution.
- 5) Although specific claims are cited in the rejections below, these rejections are also applicable to all other claims in which the noted problems/language occur.

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6) The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

7) Claims 40-45 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

a) Claims 40 and 43 are indefinite in not reciting the type/structure of the "analyte" to be detected. Additionally, claims 40 and 43 are indefinite and incomplete for the reason that they fail to define how the "adduct formed by the antibody and the analyte" is to be detected; presumably the use of a tracer or labeled secondary antibody would be required to practice the methods.

b) There is an inconsistency in the requirement of claim 45 that the "analyte" be "MDEA" while the antibody employed for the detection of "MDEA" must be "specific for an analyte" of the structure of claim 17, which structure is not "MDEA".

8) A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain a patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer cannot overcome a double patenting rejection based upon 35 U.S.C. 101.

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

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9) Claims 1-45 of this application conflict with claims 1-9, 16 and 22-38 of Application No. 10/622,524. 37 CFR 1.78(b) provides that when two or more applications filed by the same applicant contain conflicting claims, elimination of such claims from all but one application may be required in the absence of good and sufficient reason for their retention during pendency in more than one application. Applicant is required to either cancel the conflicting claims from all but one application or maintain a clear line of demarcation between the applications. See MPEP § 822.

10) Claims 1-4, 7, 31 and 36 are provisionally rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 1-6, 29 and 30 of copending Application No. 10/622,524. This is a provisional double patenting rejection since the conflicting claims have not in fact been patented.

11) The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

12) Claims 16, 28 and 40-42 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 17, 18, 31 and 42-44 of copending Application No. 10/087,469. Although the conflicting claims are not identical, they are not patentably distinct from each other because "an antibody specific for an ecstasy drug" and "an antibody of claim 17 wherein the ecstasy drug is ...MDEA" (claims 17 and 18 of 10/087,469) encompass "an antibody specific for MDEA" (claim 16 of 10/087,612).

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

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13) Claims 16 is provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 7-9 of copending Application No. 10/622,254. Although the conflicting claims are not identical, they are not patentably distinct from each other because "an antibody specific for MDEA" (claim 16 of 10/087,612) encompasses "a monoclonal antibody...having greater than 100% cross-reactivity to MDEA" (claim 7 of 10/622,254), i.e. the term "antibody" includes both the monoclonal and polyclonal forms and the antibodies of both applications are specific for "MDEA".

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

14) The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

15) Claims 1-4 (activated haptens, tracers and immunogens), 16-20 (antibodies), 28 and 29 (antibody-containing kits), 31-32 (production of antibodies), 40, 41, 43 and 44 (immunoassays) are rejected under 35 U.S.C. 102(b) as being anticipated by each of Gross (US 3,996,344), Soares (US 4,016,146), Buechler et al (US 5,470,977), Huber et al (US 5,976,812), Heiman et al (US 5,262,333), Hu et al (US 5,135,863), Byrnes et al (US 4,868,132) or Schneider et al (US 3,878,187).

Each of the references describes methamphetamine derivatives in which the phenyl ring is substituted at the *para* position with an activated linker moiety. The linker moiety can be reacted with an immunogenic carrier or label to form the corresponding *para*-substituted methamphetamine immunogen (useful for developing antibodies) or detectably labeled methamphetamine derivative (tracer). The *para*-substituted activated haptens, immunogens, tracers and antibodies of the references anticipate the *para*-substituted activated haptens, immunogens, tracers and antibodies of the instant claims. Given the

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structural similarities of the haptens of the instant invention and those of the prior art, the antibodies of the prior art would be expected to inherently have specificity for MDEA (instant claim 16). See:

- i)* Gross: col. 3, line 10 – col. 4, line 5; col. 6, formula (5); col. 7, lines 47-48; Examples 1c. and 1d.; Example 2; claims 1, 5, 6 and 9;
- ii)* Soares: formula (5); col. 15, lines 9-13; claim 1;
- iii)* Buechler et al: Fig. 1, Example 15 ; col. 2, lines 40-42 ; col. 6, line 1 – col. 8, line 31; Examples 2, 4-8 and 10;
- iv)* Huber et al: Fig. 2, structures 15– 17; col 2, line 40 ; col. 3, line 50; claims 1-22;
- v)* Heiman et al: Structures 7, 8, 12 and 13 ; col. 21, lines 13-26;
- vi)* Hu et al: claim 1; col. 32, 9. ;
- vii)* Byrnes et al : FIGS. 2-B, 7, 9-A and 9-D ;
- viii)* Schneider et al : EXAMPLES II and III ; col. 12, lines 18-26.

16) Claims 5-7, 9, 12, 14, 21-23, 26 and 33-35 are rejected under 35 U.S.C. 102(b) as being anticipated by Huber et al (US 5,976,812).

Huber et al describes *para*-derivatized amphetamine haptens wherein the linker contains both an alkylene moiety directly attached to the benzene ring and a carbonyl group; these activated haptens are useful in preparing the corresponding amphetamine immunogens, antibodies and tracers and anticipate the corresponding immunogens, tracers, antibodies and their method of use in an immunoassay of instant claims 5-7 wherein "L" is alkylene and "X" is "-CO-". See Huber et al: structures 13, 14, and 16 – 18.

17) Claims 8, 10, 11, 13, 15, 24, 25, 27, 30, and 36-39 are rejected under 35 U.S.C. 102(b) as being anticipated by Huber et al (US 5,976,812).

The Huber et al patent is applied for the reasons stated in paragraph **16)** above. Claims 8, 10, 11, 13, 15, 24, 25, 27, 30, and 36-39 contain the same limitations as claims 5-7, 9, 12, 14, 21-23, 26 and

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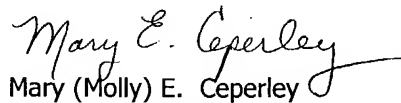
33-35 with the added limitation that "R¹" is ethyl and "R²" is methyl, i.e. the terminal amine group is -N(Et)Me. However, Huber et al specifically describe this compound limitation at col. 2, lines 32-47 wherein "R₄" and "R₅" can be -CH₃ (methyl) or -C₂H₅ (ethyl), i.e. the terminal amine group is -N(Et)Me. Therefore Huber et al anticipates the instant claims.

18) Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mary (Molly) E. Ceperley whose telephone number is (571) 272-0813. The examiner can normally be reached from 8 a.m. to 4:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long V. Le, can be reached on (571) 272-0823. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

September 16, 2004


Mary (Molly) E. Ceperley
Primary Examiner
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